



1644 \$

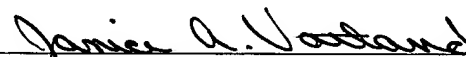
DOCKET NO: A0752.70001US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stahl et al.  
Serial No: 09/464,303  
Confirmation No: 7348  
Filing Date: December 15, 1999  
For: METHODS AND PRODUCTS FOR REGULATING LECTIN  
COMPLEMENT PATHWAY ASSOCIATED COMPLEMENT  
ACTIVATION  
Examiner: Vander Vegt, Francois P.  
Art Unit: 1644

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 25th day of November, 2003.

  
Janice A. Vatland, Reg. No.: 52,318

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

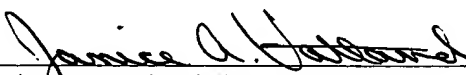
Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement, PTO Form 1449 and References Cited
- ☒ Request for Approval and Entry of Formal Drawings
- ☒ One Drawing Sheet (Figure 5)
- ☒ Check in the amount of \$180.00
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$180.00 is enclosed. If any additional fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*Stahl et al., Applicant*

By:   
Janice A. Vatland, Reg. No. 52,318  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
Telephone: (617) 720-3500

Docket No.: A0752.70001US00  
Date: November 25, 2003  
**XNDDX**



DOCKET NO: A0752.70001US00

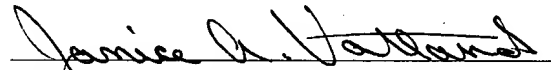
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stahl, et al.  
Serial No: 09/464,303  
Confirmation No: 7348  
Filed: December 15, 1999  
For: METHODS AND PRODUCTS FOR REGULATING  
LECTIN COMPLEMENT PATHWAY ASSOCIATED  
COMPLEMENT ACTIVATION  
Examiner: Vander Vegt, Francois P.  
Art Unit: 1644

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 25th day of November, 2003.

  
Janice A. Vatland, Reg. No.: 52,318

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed after the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311 and is being filed on or before payment of an Issue Fee.

The Applicant hereby states, as specified in 37 C.F.R. §1.97(e), that no item of information contained in this Information Disclosure Statement was cited in a communication

752346.1

from a foreign patent Office in a counterpart for this application, and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Statement.

The IDS processing fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

#### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

#### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.


By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
Stahl, et al., *Applicant*

By:   
Janice A. Vatland, Reg. No. 52,318  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
Telephone: (617) 720-3500

Docket No. A0752.70001US00  
Date: November 25, 2003  
**XNDDX**



<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 09/464,303		ATTY. DOCKET NO.: A0752.70001US00	
				FILING DATE: December 15, 1999		CONFIRMATION NO.: 7348	
				APPLICANT: Stahl, et al.			
				GROUP ART UNIT: 1644		EXAMINER: Vander Vegt, Francois P.	
Sheet	1	of	1				

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		5,616,311		Yen	04-01-1997

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		LEKOWSKI, R.W. et al., "Ulex europaeus Agglutinin II (UEA-II) is a Novel, Potent Inhibitor of Complement Activation on Human Endothelial Cells", <i>Circulation</i> , November 2, 1999, Vol. 110, No. 18 Suppl., Page 1.259, Meeting Info: 72 <sup>nd</sup> Scientific Sessions of the American Heart Association, Atlanta, Georgia, USA, November 7-10, 1999	
		Office communication from USPTO, Application No. 09/638,420 mailed January 14, 2003	
		Office communication from USPTO, Application No. 09/638,420 mailed October 21, 2003	
		International Search Report PCT/US00/22123, mailed on November 26, 2001	
		Written Opinion, PCT/US00/22123, mailed on September 27, 2001	
		Written Opinion, PCT/US00/22123, mailed on September 21, 2001	
		Written Opinion, PCT/US00/22123, mailed on March 30, 2001	
		International Search Report PCT/US00/22123, mailed on December 29, 2000	
		MATSUSHITA, M., et al. "Human mannose-binding protein is identical to a component of Ra-reactive factor", <i>Biochemical and Biophysical Research Communications</i> , Vol. 183, No. 2, pgs. 645-651, March 16, 1992	

EXAMINER	DATE CONSIDERED
----------	-----------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]